

M-P  
S-  
J-  
C-



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Specification of: Mohammed Islam

Serial No.: 10/005,472

Filing Date: November 6, 2001

Title: MULTI-STAGE OPTICAL AMPLIFIER AND BROADBAND  
COMMUNICATION SYSTEM

“EXPRESS MAIL”

Express Mailing Label Number  
EL 953699748 US

Date of Deposit June 18, 2002

I hereby certify that this paper or fee is  
being deposited with the United States  
Postal Service “Express Mail Post Office  
to Addressee” service under 37 CFR 1.10  
on the date indicated above and is  
addressed to the Assistant Commissioner  
for Patents, Washington, D.C. 20231.

  
Levado Hamilton

**BOX MISSING PARTS**

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted mailed March 19, 2002. Applicant submits herewith:

(a) A copy of the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted.

(b) An executed Declaration and Power of Attorney for the above-referenced  
patent application, which was filed November 6, 2001.

(c) (d) A Verified Statement (Declaration) Claiming Small Entity Status.

(d) A check in the amount of \$1,467.00 is enclosed for the statutory basic filing  
fees, which also includes the \$65.00 oath or declaration surcharge for a small entity.

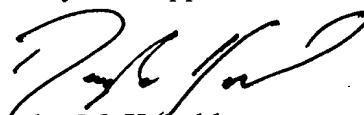
(e) Substituted drawings in compliance with 37 C.F.R. § 1.84 to FIGURES 1-19 (twenty sheets) to replace the drawings of FIGURES 1-19 that were originally submitted with the application. Applicant hereby respectfully submits that the substituted drawings add no new matter.

(f) Third Preliminary Amendment.

(g) A Notification of Extension of Time Under 37 C.F.R. 1.136 for a one (1) month extension with a check in the amount of \$55.00.

(h) The Commissioner is hereby authorized to charge any amount required or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



Douglas M. Kubehl  
Reg. No. 41,915

Correspondence Address:  
2001 Ross Avenue, Suite 600  
Dallas, TX 75201-2980  
Tel. 214.953.6486  
Fax 214.661.4486

Date: June 18, 2002

RECEIVED

MAR 25 2002

O P E

JUN 18 2002

WILSON SONSINI, GOODRICH & ROSATI  
UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,472	11/06/2001	Mohammed Islam	20434-752

CONFIRMATION NO. 9249

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

FORMALITIES LETTER



\*OC00000007665978\*

Date Mailed: 03/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/26/2002 MBIZUNES 00000084 10005472

01 FC:201	370.00 OP	FILED UNDER 37 CFR 1.53(b)
02 FC:205	65.00 OP	
03 FC:203	738.00 OP	
04 FC:202	294.00 OP	<i>Filing Date Granted</i>

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2064.
  - \$1476 for 82 total claims over 20.
  - \$588 for 7 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2934.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and

durable (see 37 CFR 1.84(e));

---

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025 RELEASE UNDER E.O. 14176